

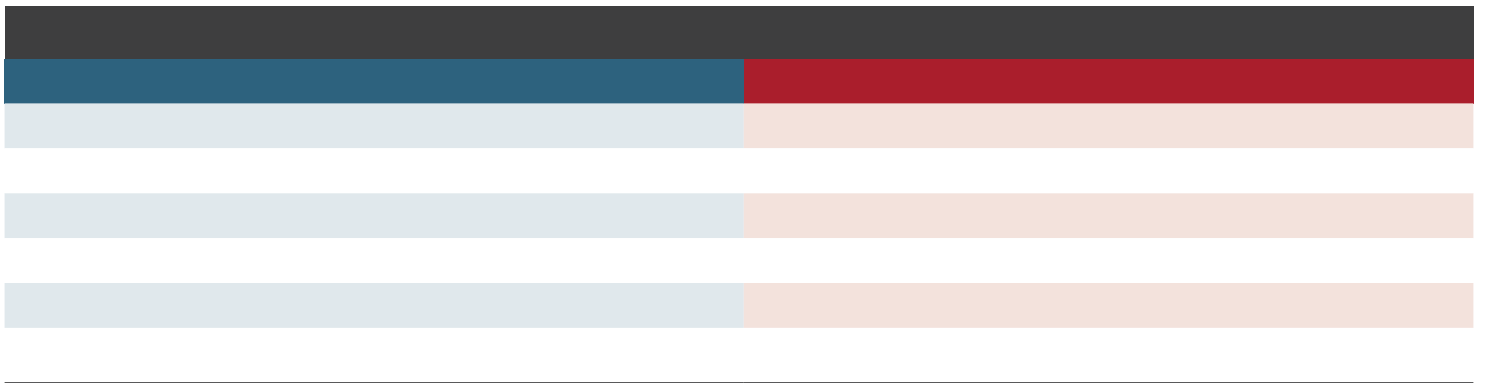
plagiarism in 2008, its analysis of articles published over a two-year period found approximately 31% of papers exhibited “unreasonable” copying and plagiarism, according to the journal director.

Second, the Chinese government has historically sponsored economic espionage, and China is the world’s principal infringer of intellectual property. The annual cost to the U.S. economy of counterfeit goods, pirated software, and theft of trade secrets is between \$225 billion and \$600 billion.

Lastly, while the vast majority of students and researchers from China are in the United States for legitimate academic reasons and contribute to the diversity of backgrounds and ideas important in our society, the Chinese government uses some Chinese students—mostly post-graduate students and post-doctorate researchers studying science, technology, engineering, and mathematics (STEM)—and professors to operate as non-traditional collectors of intellectual property. These Chinese scholars may serve as collectors—wittingly or unwittingly—of economic, scientific, and technological intelligence from U.S. institutions to ultimately benefit Chinese academic institutions and businesses.

Regardless of motive, this exploitation comes at great cost to U.S. interests. When these foreign academics unfairly take advantage of the U.S. academic environment, they do so at a cost to the institutions that host them, as well as to the greater U.S. innovation ecosystem in which they play a role. Directly or indirectly, their actions cost money, jobs, expertise, sensitive information, advanced technology, first-mover advantage, and domestic incentive to innovate.

The FBI values academic integrity and rules-based scholarship, and we recognize international academics infuse campuses—and greater U.S. society—with a diversity of ideas that helps fuel the continued growth of the U.S. economy. According to the current numbers, immigrants—including many who first came to America as international stu-





**THE CHINESE GOVERNMENT IS A HOLE OF SOCIETY APPROACH TO ADVANCE
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OR DENYING RESEARCH MIGHT BE ARGUED**

CASE EXAMPLE

An American aerospace engineering professor at a Michigan university accepted a Chinese student's request to study with him. The student indicated she was affiliated with a Chinese civilian institution and expressed an interest in the professor's work. However, her China-based address in the university directory corresponded to a college for Chinese military officers, and she had previously published an article about improving China's anti-satellite technology. According to the professor, the Chinese student pressured him to reveal secrets about his work and was likely interested in research with military satellite applications.

This case describes how foreign adversaries like China sometimes task students to hide connections to a foreign government—in this case, a foreign military. To combat theft of technology and

research, colleges and universities should consider proactive steps to ensure students and faculty understand how to protect intellectual property effectively, how to share and protect information responsibly, and how to avoid potential threats or compromises before they arise. Universities, as stewards of taxpayer research dollars, should consider implementing and enforcing clearer—and, in some cases, more restrictive—guidelines regarding funding use, lab access, collaboration policy, foreign government partnership, nondisclosure agreements, and patent applications. Additionally, the more willing colleges and universities are to engage with U.S. law enforcement as issues arise and suspicious circumstances become noticed, the more likely it is that the FBI and its partners can help to mitigate risk or minimize damage to these colleges and universities.

Tactics Foreign Adversaries Use to Target Academia

Foreign adversaries leverage joint research opportunities, language and cultural training, unsolicited invitations, visiting students and professors, and state-sponsored industrial and technical espionage to support their military and commercial research, development, and acquisition.

The tactics below all represent legitimate opportunities for your university or institution. However, foreign adversaries might use any combination of them to strategically target you and your work.

Talent Recruitment or Brain Gain Program encourage the transfer of original ideas and intellectual property from U.S. universities. For example, China's talent recruitment plans, such as the Thousand Talents Program, offer competitive salaries, state-of-the-art research facilities, and honorific titles, luring both Chinese overseas talent and foreign experts alike to bring their knowledge and experience (or that of advisors and colleagues) to China.

Association with talent recruitment plans by itself is not illegal; however, potential participants and their employers should be aware of legal issues that may arise as a result of participation, including violation of export-control laws, economic espionage, or violation of employer conflict-of-interest policies. A simple download of intellectual property or proprietary information has the potential to become criminal activity.

Foreign Denying Professionals are usually studying or working at U.S. universities for legitimate reasons. However, some foreign governments coerce legitimate students into reporting on the research they are doing in the United States—or even offer scholarships or funding in exchange for the information.

LANGUAGE AND CULTURAL TRAINING opportunities can enable foreign adversaries to use universities not only to increase their understanding of the local language and culture, but also to make contacts.

FUNDING AND DONATION provided by foreign adversaries can enable universities to establish cultural centers, support academic programs, or facilitate joint research while also fostering goodwill and trust between the donor organization and university. However, a foreign adversarial funding organization could place stipulations on how the programs or centers function or install its own recruits in positions with little or no university oversight.

ELICITATION of information about your research or work can come in many forms. A foreign adversary might try to elicit information by using flattery, assuming knowledge, asking leading questions, claiming a mutual interest, or feigning ignorance.

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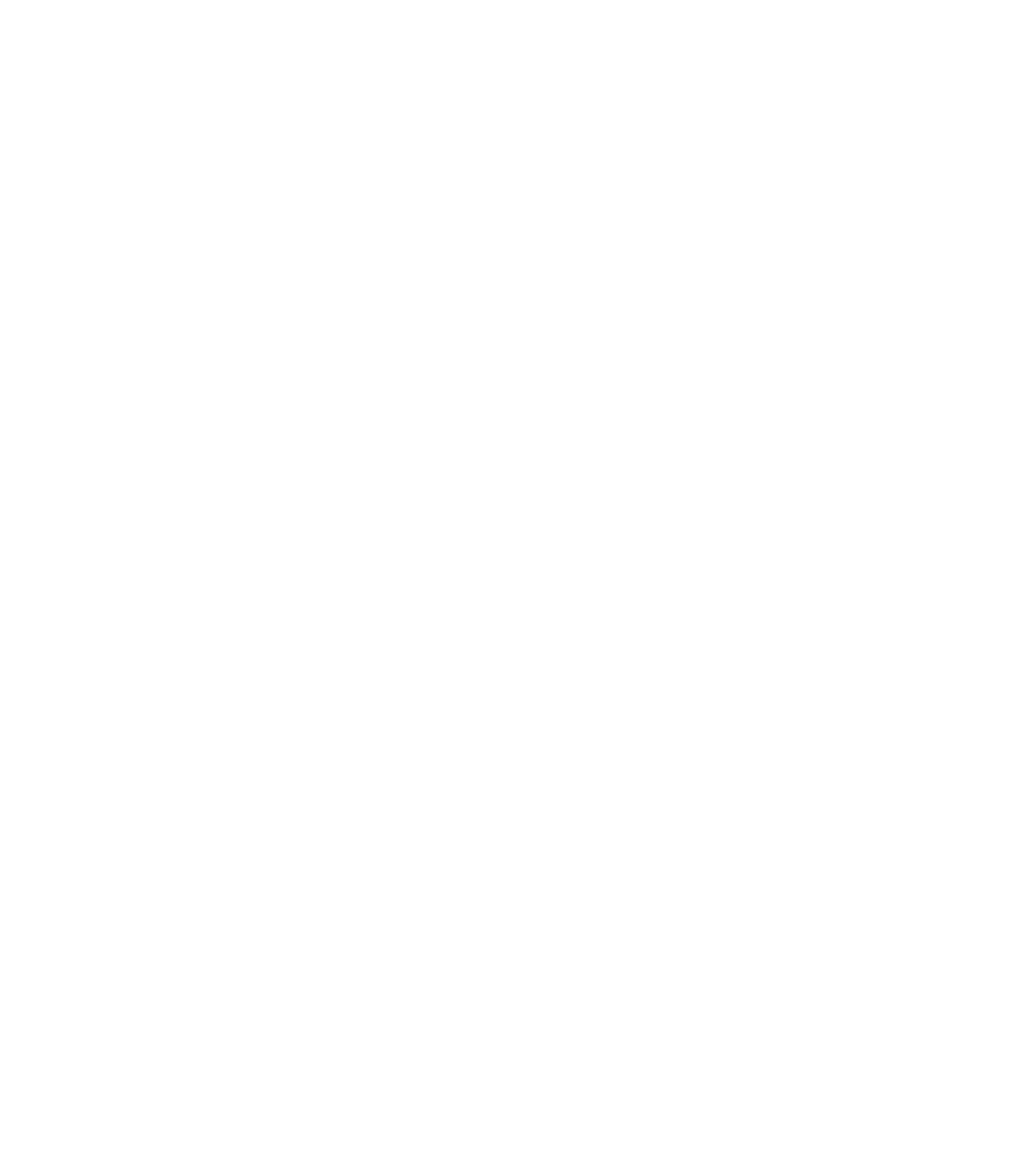
CASE EXAMPLE





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A Chinese-American employee at a U.S. university established an internship placement service for American students interested in traveling to China for student exchanges. However, the employee was also knowingly in contact with a Chinese intelligence officer who targeted American students for intelligence exploitation. The employee provided the intelligence officer with personal and identifying information about American graduate students in China, including their travel logistics, contacts, and studies. The following year, the employee provided the Chinese intelligence officer with email communications between the U.S. university and a U.S. company that managed international education



Develop a security strategy

Ensure you have a security strategy to protect your institution's information and employees from potential physical and cyber threats. To develop this strategy, identify your most important research and assets and ensure you devote appropriate resources to their protection. Establish formal agreements and procedures to determine ownership of intellectual property.

Develop a prevention, recognition, and response plan tailored to addressing insider, foreign adversary, and cyber threats. Form teams made up of legal counsel, cyber experts, physical security specialists, and academic supervisors to specifically combat insider threats. Ensure your university or institution's response policies can be easily accessed by employees and that they adequately account for privacy and confidentiality.

Talk to your local FBI field office to report any suspicious activities, request training, or ask for threat and awareness materials to ensure you remain up to date on evolving threats.

Combating Foreign Adversaries: Tactics to Target Your University or Institution

ACADEMIC COLLABORATION is necessary to advance knowledge. Simple security measures, however, can go a long way in preventing the loss of current research and future opportunities. Consider the hidden risk of unsolicited offers for employment, research collaboration, or conference attendance.

FOREIGNER IDIOTS can present potential vulnerabilities to sensitive university facilities. Keep visitor groups together and monitor them at all times during the duration of their visit to areas containing sensitive technology, products, or personal information. When possible, ensure all visitors have proper clearance and background checks before they enter your facilities. Be aware of last-minute additions to visitor lists, as foreign adversaries sometimes add individuals at the last minute in an attempt to steal your information. Prevent unauthorized access to computer systems and ensure visitors do not record building security access procedures by ensuring visitors do not take videos or photographs or plug portable media devices into university computers.

MALICIOUS CYBERACTIVITY can also present potential vulnerabilities. Monitor logs on these systems to better identify this activity:

- Firewalls
- Proxy
- Web Server
- Anti-virus
- Active directory
- Network Address Translation (NAT)
- Windows event
- Intrusion Detection System (IDS)
- Domain Name Server (DNS)

If you suspect a cyber intrusion, assess the nature and scope of the incident by isolating the affected systems, target, and origin of the activity. Collect the network logs and records. Implement your company's cyber response plan and report the incident to law enforcement.

When in Doubt, Report the Incident

When in doubt, report a security violation or cyber intrusion to your institution's security officer or your local FBI office. *Do not* alert the person under suspicion. Your security officers or law enforcement partners will handle the interaction according to their response policies.

Although your first inclination might be to distance your university or institution from a harmful threat, terminate an employee, or expel a student, there is significant value in reporting a security violation or cyber intrusion to law enforcement. Monitoring and investigating the threat could uncover third party actors and reveal previously unknown vulnerabilities of your university or institution.

